

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: MERCK-3082

In re patent application of

ASFARI, MARYAM et al.

Serial No. 10/553,676

Filed: October 17, 2005

For: INSULIN-INDUCED GENE AS THERAPEUTIC TARGET IN DIABETES

# STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail Stop SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

- $1. \qquad \text{the submission, filed herewith in accordance with 37} \\ \text{C.F.R.} \ \ \ 1.821(g), \ \text{does not include new matter};$
- 2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

-- . . . /

James A. Ćoburn

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800-318-3021

# STIC Biotechnology Systems Branch

## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source:

Date Processed by STIC:

8/15/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
   U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
   Alexandria, VA 22314

Revised 01/10/06

### **Raw Sequence Listing Error Summary**

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 10/553,676
ATTN: NEW RULES CASES:	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
lWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6Patentin 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  This sequence is intentionally skipped  Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to Include the skipped sequences.
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <10> sequence id number <400> sequence id number 000
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <13> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence. (see item 11 below)
11U∞ of <229>	Sequence(s)missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules
12Patentin 2.0 "bug"	Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid

AMC - STIC Systems Branch - 03/02/06



IFWP

DATE: 08/15/2006 RAW SEQUENCE LISTING TIME: 12:21:39 PATENT APPLICATION: US/10/553,676 Input Set : A:\PTO.RJ.txt Output Set: N:\CRF4\08152006\J553676.raw Suggestion: Consult
Suggestion: Consult
Sequera Rules
Some for VALID
Corrected Diskette Needed 5 <110> APPLICANT: MERCK-SANTE CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE (CNRS) 9 <120> TITLE OF INVENTION: Insulin-induced gene as therapeutic target in diabetes 13 <130> FILE REFERENCE: BFF 03P0004 -> 17 <140> CURRENT APPLICATION NUMBER: US/10/553,676 -> 17 <141> CURRENT FILING DATE: 2005-10-17 17 <160> NUMBER OF SEQ ID NOS: 8 21 <170> SOFTWARE: PatentIn version 3.1 TRORED SEQUENCES sup 1-4 73 <210> SEQ ID NO: 2 75 <211> LENGTH: 353 77 <212> TYPE: PRT 79 <213> ORGANISM: Rattus sp. 83 <400> SEQUENCE: 2 85 Met Leu Cys Thr Leu Phe Leu Leu Leu Leu Ala Leu Gly Ile Yal Gln > 86 1 5 5 10 15 89 Thr Thr Arg Pro Cys Phe Pro Gly Cys Gln Cys Glu Glu Glu Thr Phe > 90 : 26 20 25 30 93 Gly Deu Phe Asp Ser Phe Ser Leu Ile Arg Val Asp Cys Ser Ser Leu -> 94 / 35 40 45 97/Gly Pro His Ile Val Pro Val Pro Ile Pro Leu Asp Thr Ala His Leu > 9, £ 50 55 60 101 Asp Leu Ser Ser Asn Arg Leu Glu Thr Val Asn Glu Ser Val Leu Gly >/102 65 70 75 80 105 Gly Pro Gly Tyr Thr Thr Leu Ala Gly Leu Asp Leu Ser His Asn Leu /> 106 85 90 95 109 Leu Thr Ser Ile Thr Pro Thr Ala Phe Ser Arg Leu Arg Tyr Leu Glu -> 110 100 105 110° 113 Ser Leu Asp Leu Ser His Asn Gly Leu Ala Ala Leu Pro Ala Glu Val ·-> 114 115 120 125 117 Phe Thr Ser Ser Pro Leu Ser Asp Ile Asn Leu Ser His Asn Arg Leu > 118 130 135 140 121 Arg Glu Val Ser Ile Ser Ala Phe Thr Thr His Ser Gln Gly Arg Ala ·-> 122 145 150 155 160 125 Leu His Val Asp Leu Ser His Asn Leu Ile His Arg Leu Leu Pro Tyr **2**> 126 165 170 175 127 Pro Ala Arg Ala Ser Leu Ser Ala Pro Thr Ile Gln Ser Leu Asn beu see P.2 -> 128 180 185 190

195 200

·-> 132

131 Ser Trp Asn Arg Leu Arg Ala Val Pro Asp Leu Arg Asp Leu Pro Leu

DATE: 08/15/2006

TIME: 12:21:39

Input Set : A:\PTO.RJ.txt Output Set: N:\CRF4\08152006\J553676.raw 135 Arg Fyr Leu Ser Leu Asp Gly Asn Pro Leu Ala Thr Ile Asn Pro Gly 210 220 215 > 136 139 Ala Phe Met Gly Leu Ala Gly Leu Thr His Leu Ser Leu Ala Ser Deu 235 > 14/0 225 230 240 #43 Gln Gly Ile Leu Gln Leu Pro Pro His Gly Phe Arg Glu Leu Pro Gly 144 245 250 255 147 Leu Gln Val Leu Asp Leu Ser Gly Asn Pro Lys Leu Lys Trp Ala Gly 260 265 270 151 Ala Glu Val Phe Ser Gly Leu Gly Leu Leu Gln Glu Leu Asp Leu Ser 280 **152** 275 285 155 Gly Ser Ser Leu Val Pro Leu Pro Glu Thr Leu Leu His His Leu Pro > 156 295 300 290 159 Ala Leu Gln Ser Val Ser Val Gly Gln Asp Val Gln Cys Arg Arg Ley 310 315 320 -> 160 305 163 Val Arg Glu Gly Ala Val His Arg Gln Pro Gly Ser Ser Pro Lys Val 325 330 335 167 Val Leu His Cys Gly Asp Thr Gln Glu Ser Ala Arg Gly Fro Asp Ile -> 168 340 345 350 171 Leu 339 <210> SEQ ID NO: 4 341 <211> LENGTH: 353 343 <212> TYPE: PRT 345 <213> ORGANISM: Homo sapiens 349 <220> FEATURE: 351 <221> NAME/KEY: misc\_feature 353 <222> LOCATION: (121)..(121) 355 <223> OTHER INFORMATION: 'Xaa' in position 121 represents Ala or Thr. 357 <400> SEQUENCE: 4 359 Met Pro Trp Pro Leu Leu Leu Leu Ala Val Ser Gly Ala Gln Thr 10 > 360 1 15 musaligned 363 Thr Arg Pro Cys Phe Pro Cly Cys Glu Val Glu Thr Phe Gly, 364 25 30 Phe Asp Ser Phe Ser Leu Thr Arg Val Asp Cys Ser Gly Leu Gly 367 Leu 368 / 40 45 371 Pro His Ile Met Pro Val Pro Ile Pro Leu Asp Thr Ala His Leu Asp > 372 55 60 Leu Glu Met Val Asn Glu Ser Val Leu Ala Gly 375 Leu Ser Ser Asn Ard -> 3,76 65 80 Thr 379\_Pro Gly Leu Asp Leu Ser His Asn Leu Leu .85 90 9.5 383 Thr Ser Ile Ser Pro Thr Ala Phe Ser Arg Leu Arg Tyr Leu Glu Ser --> 387 Leu Asp Leu Ser His Asn Gly Leu Xaa Ala Leu Pro Ala Glu Ser Phe SUP S

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/553,676

391 Thr Ser Ser Pro Leu Ser Asp Val Asn Leu Ser His Asn Gln Leu Arg

399 His Val Asp Lou Ser His Ash Leu Ile His Arg Leu Val Pro His Pro

Val Ser Ala Phe Thr Thr His Ser Gln Gly Arg Ala Leu

160

140

155

135

150

> 392 \ 130

·-> 39**6\14**5

395 Glu Val Ser

RAW SEQUENCE LISTING DATE: 08/15/2006
PATENT APPLICATION: US/10/553,676 TIME: 12:21:39

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\08152006\J553676.raw

170 175 403 Thr Arg Ala Gly Leu Pro Ala Pro Thr Ile Gln Ser Leu Asn Leu Ala 190 -> 404 180 185 407 Trp Asn Arg Leu His Ala Val Pro Asn Leu Arg Asp Leu Pro Leu Arg 411 Tyr Leu Ser Leu Asp Gly Asn Pro Leu Ala Val Ile Gly Pro Gly Ala > 408 -> 412 415 Phe Ala Gly Leu Gly Gly Leu Thr His Leu Ser Leu Ala Ser Leu Gln 230 235 ·-> 416 225 240 419 Arg Leu Pro Glu Leu Ala Pro Ser Gly Phe Arg Glu Leu Pro Gly Leu ·-> 420 245 250 255 423 Gln Val Leu Asp Leu Ser Gly Asn Pro Lys Leu Asn Trp Ala Gly Ala -> **424** 260 265 270 427 Glu Val Phe Ser Gly Leu Ser Ser Leu Gln Glu Leu Asp Leu Ser Gly 285 280 431 Thr Asn Leu Val Pro Leu Pro Glu Ala Leu Leu His Leu Pro Ala 300 295 ·-> 432 290 435 Leu Gln Ser Val Ser Val Gly Gln Asp Val Arg Cys Arg Arg Leu Val 315 > 436 305 310 320 439 Arg Glu Gly Thr Tyr Pro Arg Arg Pro Gly Ser Ser Pro Lys Val Ala 330 325 335 442 Leu His Cys Val Asp Thr Arg Glu Ser Ala Ala Arg Gly Pro Thr Ile usent 22207 (no response 222071s. a header 345 350 ·-> 443 446 Leu delete section, not on [2137 line) This even also appears in Sequences 5 though 7.

evou in Sequence 3

VERIFICATION SUMMARY DATE: 08/15/2006
PATENT APPLICATION: US/10/553,676 TIME: 12:21:40

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\08152006\J553676.raw

```
17 M:270 C: Current Application Number differs, Replaced Current Application No
17 M:271 C: Current Filing Date differs, Replaced Current Filing Date
86 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:2
:332 Repeated in SeqNo=2
194 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:3, Line#:190
197 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:201 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:205 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:209 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:213 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:217 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:221 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:224 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:385
:225 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:229 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:233 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:237 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:241 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:245 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:249 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:255 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:259 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:263 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:267 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:271 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:275 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:279 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:283 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:287 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:3
:360 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:4
:332 Repeated in SeqNo=4
:387 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:112
:456 M:220 C: Keyword misspelled or invalid format, <213 > ORGANISM for SEQ ID#:5
:459 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:5, <213>
RGANISM: Artificial Sequence
:459 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:5, <213>
RGANISM: Artificial Sequence
:459 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:5,Line#:459
:469 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:6
:473 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:6, <213>
RGANISM: Artificial Sequence
:473 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:6, <213>
RGANISM: Artificial Sequence
:473 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:6,Line#:473
:483 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:7
:487 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:7, <213>
RGANISM: Artificial Sequence
:487 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:7, <213>
RGANISM: Artificial Sequence
:487 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:7,Line#:487
:497 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:8
:501 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ#:8, <213>
RGANISM: Artificial Sequence
```

501 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:8, <213>

GANISM: Artificial Sequence

501 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:8,Line#:501

VERIFICATION SUMMARY
PATENT APPLICATION: US/10/553,676

DATE: 08/15/2006 TIME: 12:21:40

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\08152006\J553676.raw

:504 M:254 B: No. of Bases conflict, this line has no nucleotides.

:506 M:334 E: (2) Invalid Amino Acid in Coding Region, NUMBER OF INVALID KEYS:2

:508 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:8